



# SHEA EAR CLINIC

EAR, NOSE AND THROAT

6133 POPLAR PIKE AT RIDGEWAY  
MEMPHIS, TN 38119

**Tel:** (901) 761-9720 **Toll Free:** (800) 477-SHEA **Fax:** (901) 680-1992 **Email:** john.emmett@sheaclinic.com

Thank you for entrusting us with your medical care. Your child's appointment is with **Dr. John Emmett**.

Please bring **ALL** medical records related to your child's problem with you. Please bring records made by other Ear, Nose and Throat Doctors or Speech and Hearing Centers. If you do not have copies of these records, please call or write to have them sent to the Shea Ear Clinic by mail, or fax to (901) 680-1992, well in advance of your child's appointment. If you cannot keep the assigned appointment, please select another date and time by calling the appointment secretary at (901) 761-9720 or toll free at 1-800-477-SHEA with at least a 48-hour notice.

At the time of your child's appointment, they will be given a routine hearing test and, if necessary, special hearing tests and/or tests of their balance system. There is no need for your child to fast the night before your office visit at Shea Ear Clinic. You child can have a **light** breakfast the morning of their office visit.

Although rare, if dizziness, vertigo or loss of balance is part of the reason for your child visiting the Shea Ear Clinic, they may need to undergo special balance tests during the visit. **If so, please discontinue the following medicines at least five (5) days prior to your office visit if you are taking them: Valium (diazepam), Antivert (meclizine), Dramamine, Phenergan (promethazine), Transderm Scop (scopolamine), Xanax (alprazolam), and Ativan (lorazepam).**

The physicians of Shea Ear Clinic participate in Medicare, Blue Cross/Blue Shield and most other Commercial Insurance plans. **Shea Ear Clinic does NOT participate in any MEDICAID plans, and is NOT accepting any new MEDICAID patients. Shea Ear Clinic does NOT participate with TENNCARE or most HMO Plans.** You are responsible for paying any co-pays, coinsurance and/or deductibles based on your insurance's contracted rates. Please check with your insurance company to learn if Shea Ear Clinic participates in your plan. **If Shea Ear Clinic is not a participating provider in your insurance plan, you may or may not have out-of-network benefits.** Regardless, you will be responsible for paying any deductibles and/or coinsurance at the time of service. If you do not have any out-of-network benefits, you will be considered a "self-pay" guarantor. **Self-pay guarantors are required to pay a \$500.00 deposit before services are rendered. If your insurance plan requires a referral, you must obtain this referral prior to your appointment date.**

Most Shea Ear Clinic patients stay at the Sonesta Suites connected to the Shea Ear Clinic by a walkway. The telephone number is (800) 766-3782 and be sure to ask for the special discount rate for Shea Ear Clinic patients. A listing of additional nearby hotels is listed on our website ([www.SheaClinic.com](http://www.SheaClinic.com)). These hotels may or may not offer a special "Shea" rate. Also, for your convenience, there is also a map with directions posted on our website.

Please do **NOT** bring additional children or more than one additional adult with you. Thank you for your cooperation.



## JOHN R. EMMETT, M.D.

---

Dr. John Emmett joined the Shea Ear Clinic in 1976, and specializes in the medical and surgical treatment hearing and balance disorders and has performed more than 17,000 major ear operations. An internationally-known lecturer and author, Dr. Emmett is widely published in medical journals and books and has been selected nationally by his peers each year for two decades to be included in the listing of "The Best Doctors in America."

His past and present associations include President of the Memphis Society of Otolaryngology, President of the Tennessee Academy of Otolaryngology, Vice President of the Southern Section of The Triological Society and President of the Otosclerosis Study Group. He has served as a member of the Board of Directors of the Deafness Research Foundation and on the Scientific Advisory Committee of the American Tinnitus Association.

Dr. Emmett was elected to membership of the prestigious American Otological Society and The Triological Society. Dr. Emmett is the recipient of the Honor Award of the American Academy of Otolaryngology for his contributions to the field of otolaryngology.

Originally from West Palm Beach, Florida, Dr. Emmett graduated from Georgia Tech in 1965 with a Bachelor of Science degree in Applied Biology and began graduate work at Baylor University in biochemistry and physiology, where he received his Masters Degree in 1968. In

1966, Dr. Emmett began his medical career at George Washington University in Washington, D.C., earning his Doctor of Medicine degree in 1970. At the time of his medical school graduation he received the Hoffman-LaRoche Award (highest award) and the Alexander A. Horwitz Award for excellence in surgery. Originally interested in cardiothoracic surgery, Dr. Emmett entered the Duke University Residency Program with the intention of pursuing a surgical career. He trained for two years in general surgery at Duke and a third year of general surgery was completed at University of North Carolina. He then completed three years of Otolaryngology-Head & Neck Residency at the University of North Carolina and completed it in 1976.

It was during his rotation in Otolaryngology-Head and Neck Surgery-that Dr. Emmett performed his first ear operation. "From that moment on, I was hooked on the microsurgical techniques of the ear". Subsequent correspondence and mentoring with Dr. John Shea, Jr. led Dr. Emmett to join the practice in 1976.

Dr. Emmett's wife Karen is an audiologist, and he has two daughters who have followed his life of service. The older, Kathleen, is a Chief Fundraising Officer for non-profit organizations in Palm Beach, Florida. His younger daughter, Susan, is a resident in Otolaryngology-Head & Neck Surgery at Johns Hopkins University.

When Dr. Emmett is asked to sum up his life as an ear surgeon, he is quick to point out that it is more than the practice of medicine. It is part of his life's ministry. He states, "The biggest privilege and thrill of all is to serve in God's healing ministry".

**PATIENT INFORMATION:**

Date: \_\_\_\_\_

Pharmacy: \_\_\_\_\_  
Name Address Phone

Child's Name: \_\_\_\_\_  
Last Middle First

Date of Birth: \_\_\_\_\_ Sex: \_\_\_\_\_ SSN: \_\_\_\_\_

Race: \_\_\_\_\_ Ethnicity: \_\_\_\_\_ Language: \_\_\_\_\_

Address: \_\_\_\_\_  
Street City State Zip

Home # (\_\_\_\_) \_\_\_\_\_ Fax#(\_\_\_\_) \_\_\_\_\_ Email: \_\_\_\_\_

School: \_\_\_\_\_ Address: \_\_\_\_\_

**PARENTS and/or GUARDIANS:**

**MOTHER:** \_\_\_\_\_ Social Security No. \_\_\_\_\_

Street Address \_\_\_\_\_ City/State/Zip \_\_\_\_\_

Telephone No.(\_\_\_\_) \_\_\_\_\_ D.O.B. \_\_\_\_\_ E-Mail \_\_\_\_\_

Occupation \_\_\_\_\_ Employer \_\_\_\_\_

Employer's Street Address \_\_\_\_\_ Telephone No. (\_\_\_\_) \_\_\_\_\_

Employer's City/State/Zip \_\_\_\_\_

**FATHER:** \_\_\_\_\_ Social Security No. \_\_\_\_\_

Street Address \_\_\_\_\_ City/State/Zip \_\_\_\_\_

Telephone No.(\_\_\_\_) \_\_\_\_\_ D.O.B. \_\_\_\_\_ E-Mail \_\_\_\_\_

Occupation \_\_\_\_\_ Employer \_\_\_\_\_

Employer's Street Address \_\_\_\_\_ Telephone No. (\_\_\_\_) \_\_\_\_\_

Employer's City/State/Zip \_\_\_\_\_

**PRIMARY INSURANCE INFORMATION:**

\_\_\_\_\_  
Name of Insurance Co. Individual Policy No. Name of Insured

\_\_\_\_\_  
Street Address Group Policy No. Relationship to Patient

\_\_\_\_\_  
City, State, Zip Insured's Date of Birth Insured's Soc Sec Number

**SECONDARY INSURANCE INFORMATION:**

\_\_\_\_\_  
Name of Insurance Co. Individual Policy No. Name of Insured

\_\_\_\_\_  
Street Address Group Policy No. Relationship to Patient

\_\_\_\_\_  
City, State, Zip Insured's Date of Birth Insured's Soc Sec Number

**OTHER DOCTORS INFORMATION:**

Referring Doctor: \_\_\_\_\_ Telephone #: (\_\_\_\_) \_\_\_\_\_

Address: \_\_\_\_\_

Local General Doctor: \_\_\_\_\_ Telephone #: (\_\_\_\_) \_\_\_\_\_

Address: \_\_\_\_\_

Cardiologist: \_\_\_\_\_ Telephone #: (\_\_\_\_) \_\_\_\_\_

Address: \_\_\_\_\_

Certain tests are often required prior to being seen by your doctor. If you are the patient or are responsible for the patient, do you consent to have these tests performed on you or any child or other adult for whom you are responsible?

\_\_\_\_\_ Yes                      \_\_\_\_\_ No                      Signature: \_\_\_\_\_

**ASSIGNMENT OF BENEFITS:**

I authorize the release of any medical or other information necessary to process this claim. I also request payment of government or medical benefits to the party who accepts assignment.

\_\_\_\_\_  
Guarantor's Signature                      Relationship to Patient                      Date                      Witness

**PATIENT RESPONSIBILITIES**

1. Provide accurate and complete information about the present complaint, past illnesses, hospitalizations, medications, and other matters which relate to their health.
2. Report their level of pain or unexpected changes in their condition.
3. Report whether they clearly understand plans for their care and what is expected of them.
4. Follow both the treatment plan recommended by the physician and the Shea Ear Clinic rules and regulations affecting their care and conduct, including the instructions of nurses and other health professionals.
5. Accept the outcome of their actions should they refuse treatment or choose not to follow the physician's order.
6. Be considerate of the rights of other patients and Shea Ear Clinic staff and for assisting with the control of noise.
7. Be respectful of the property of other persons and of the Shea Ear Clinic.
8. Meet all of the financial obligations of their health care.

**PHYSICIAN REFERRAL POLICY**

If the patient's insurance requires a physical referral, the referring physician must call your insurance company and obtain a referral authorization prior to your appointment. It is the patient's responsibility to bring their referral information with them, or have their referring physicians send the referral letter by mail or fax to:

**SHEA EAR CLINIC  
6133 POPLAR PIKE  
MEMPHIS, TN 38119  
FAX: (901) 683-8440**

**PRE-CERTIFICATION POLICY**

Shea Ear Clinic has adopted the following policy for pre-certification on all insurance plans, health maintenance organizations and other reimbursement plans excluding Medicare:

1. When provided with complete insurance carrier information at admission, we will assist patients in pre-certifying their admission and stay as directed by their insurance company. Patients should contact their employer if they are unsure of their policy requirements regarding pre-certification.
2. Regardless of the outcome of pre-certification efforts, Shea Ear Clinic will NOT be financially responsible for any reduction in payment or any penalty sustained by the patient or the guarantor. Nor will Shea Ear Clinic accept responsibility for pre-certification. Any failure of Shea Ear Clinic personnel to assist in this process will NOT make the Shea Ear Clinic financially liable.
3. Shea Ear Clinic will hold the patient, or guarantor, responsible for all balances not paid by the patient's insurance company, HMO or other insurance reimbursement plan, regardless of the conditions of pre-certification, or the outcome of the process.
4. Shea Ear Clinic acknowledges the pre-certification process may often be a complex and labor intensive exercise. With a vast multitude of insurance companies, insurance plans within the insurance companies, and other less traditional reimbursement plans, it is the patient's and/or the guarantor's responsibility to know the requirements of their policy. As the owner of the policy, it is imperative the guarantor understand all of the parameters of the plan they own. Accordingly, Shea Ear Clinic will not be held financially responsible when the plan requirements are not fulfilled to the satisfaction of ANY third party payor.



# SHEA EAR CLINIC

EAR, NOSE AND THROAT

6133 POPLAR PIKE  
MEMPHIS, TN 38119  
PHONE: (901) 761-9720 / FAX: (901) 680-1992

## PARENTAL CONSENT FORM

Child's Name \_\_\_\_\_ Date of Birth \_\_\_\_\_

The undersigned does hereby give permission for the above named child to be examined and treatment rendered in the offices of Shea Ear Clinic.

I authorize the listed adults, in whose care the minor will be entrusted, to consent to any medical treatment, surgical treatment, and/or hospital care, to be rendered to the minor, based on the advice of any Shea Ear Clinic physician licensed under the state medical board and the medical staff of a licensed hospital, whether such diagnosis or treatment is rendered at the Shea Ear Clinic or the hospital.

Authorized Persons: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I understand that I will be liable and agree to pay expenses incurred in connection with medical services rendered to the aforementioned child pursuant to this authorization.

\_\_\_\_\_  
Parent or Guardian (Print)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Date



# SHEA EAR CLINIC

EAR, NOSE AND THROAT

## PATIENT FINANCIAL POLICY

The Shea Ear Clinic is a participating provider with many managed health care insurers to accommodate the needs of our patients.

We are committed to building a successful physician-patient relationship with you. Your clear understanding of our Patient Financial Policy is important to our professional relationship. Please understand that payment of services is a part of the relationship. Please ask if you have any questions about our fees, our policies, or your responsibilities. It is your responsibility to notify our office of any patient information changes (i.e. address, name, phone number, insurance information, etc.).

### Insurance Claims & Co-Pays

The patient must present an insurance card at each visit. All co-payments, co-insurance, deductibles and past due balances are due at the time of checkout. Insurance is a contract between you and your insurance company. Insurance programs have many individual requirements and the same insurance company may have different benefits based upon the employer group or individual policy.

If your insurance company has special requirements for your services, such as a special lab requirement, a limitation on the number of times a service can be performed, limitations on where outpatient services may be performed, or requirements for primary care referrals, you must advise our office of these provisions or you may be responsible for additional charges. The Shea Ear Clinic makes every attempt to minimize your out-of-pocket costs by following any provisions of which you make us aware.

Although we may estimate what your insurance company will pay, the insurance company makes the final determination of your eligibility and benefits. If your insurance company is not contracted with us, it is your responsibility to pay any portion of the charges not covered by insurance, including but not limited to those charges above the usual and customary allowance.

### Referrals and Prior Authorizations

If your insurance company requires a referral and/or prior authorization, you are responsible for obtaining it. Failure to obtain the referral and/or prior authorization may result in a lower or no payment from insurance, and the balance will be your responsibility.

### Self-Pay Accounts

Self-Pay accounts are patients without insurance coverage or patients without any out-of-network benefits. Self-pay patients are required to pay a \$500.00 deposit before services are rendered. All guarantors are required to provide proof of their social security number or provide the Shea Ear Clinic with a \$500.00 deposit before services are rendered.

### Missed Appointments

The Shea Ear Clinic requires a 24-hour notice of appointment cancellation. Appointments missed and are not previously cancelled may be charged a fee of \$25.00 in which case this fee must be paid prior to scheduling additional appointments.

### Returned Checks

The charge for a returned check is \$40.00, payable by cash or money order. This will be applied to your account in addition to the insufficient funds amount. You may be placed on a "cash-only" payment basis following any returned check.

### Outstanding Balance Policy

It is our office policy that all past due accounts be sent two statements. In the event an account is turned over for collection, the person financially responsible for the account will be responsible for all collection costs, including attorney fees and court costs.

### Medical Records Policy

The Shea Ear Clinic will provide your medical records to a referred physician as a courtesy. Any other requests will require the prepayment of a \$20.00 retrieval fee plus \$.40 per copied page. This fee is subject to change without notice at any time.

### Forms Completion Policy

Requests for the Shea Ear Clinic, our physicians or members of our staff to complete forms will require the prepayment of a \$20.00 retrieval fee plus \$25.00 per page. This fee applies to all requests for the completion of any disability papers or consultation forms.

I accept and understand the Shea Ear Clinic Financial Policy.

\_\_\_\_\_  
Guarantor Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name of Guarantor

\_\_\_\_\_  
Witness



# SHEA EAR CLINIC

EAR, NOSE AND THROAT

## NEW PATIENT VISIT/CONSULTATION

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

What do you call your child? \_\_\_\_\_

Who referred you to Shea Ear Clinic? \_\_\_\_\_

Reason for today's visit? \_\_\_\_\_

Has your child ever been diagnosed with any of the following diseases?

	Yes	No		Yes	No
Asthma	___	___	Diabetes	___	___
Kidney Disease	___	___	Thyroid Disease	___	___
Lupus	___	___	Lung Disease	___	___
Bleeding Tendencies	___	___	Nervous System Problems	___	___
Heart Disease	___	___	Tuberculosis	___	___
Epilepsy	___	___	Osteoarthritis	___	___
High Blood Pressure	___	___	Alcoholism	___	___
Hepatitis	___	___	Sickle Cell Disease	___	___
Rheumatoid Arthritis	___	___	Colitis	___	___
Anemia	___	___	Stomach Ulcers	___	___
Cancer	___	___	Sarcoidosis	___	___
High Cholesterol	___	___	Depression/Anxiety	___	___
Gastric Reflux	___	___	Obstructive Sleep Apnea	___	___
Other medical conditions?	_____				Are you on CPAP? _____

List all operations that your child has had: (i.e. ear surgery, tonsils, hernias, appendix, gallbladder, etc.)

<u>Procedure</u>	<u>Date</u>	<u>Complications</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Please list all current medications, dosages, and how many times per day.

_____	_____
_____	_____
_____	_____

Is your child allergic to any medications/drugs? Yes \_\_\_\_\_ No \_\_\_\_\_

List all drug allergies below and your child's reaction to each.

_____	_____	_____
_____	_____	_____

Height \_\_\_\_\_ Weight \_\_\_\_\_

Has anyone in your family had:

High Blood Pressure \_\_\_\_\_ Heart Disease \_\_\_\_\_ Diabetes \_\_\_\_\_  
Bleeding Problems \_\_\_\_\_ Lung Disease \_\_\_\_\_ Stroke \_\_\_\_\_  
Cancer (explain who and what type): \_\_\_\_\_

Are both parents living? \_\_\_\_\_ What are their ages now, or when they died? \_\_\_\_\_

Has your child recently had the following:

	Yes	No		Yes	No
Chest Pain	___	___	Nausea/Vomiting	___	___
Breathing Difficulties	___	___	Loss of Control of Bowels	___	___
Numbness/Tingling	___	___	Blood in Urine	___	___
Vision Changes	___	___	Fainting Spells	___	___
Abdominal Pain	___	___	Cough with Blood	___	___
Bloody/Tarry Stools	___	___	Headaches or Migraines	___	___
Pain/Burning Urination	___	___	Unexpected Weight Loss	___	___
Irregular Heartbeat	___	___	Diarrhea	___	___
Cough	___	___	Difficulty Starting Urination	___	___
Dizziness	___	___	Loss of Bladder Control	___	___
Fever or Chills	___	___	Sinus Disease	___	___

Please explain further any "YES" answers. \_\_\_\_\_  
\_\_\_\_\_

Has your child had a CT scan of the head? Yes \_\_\_ No \_\_\_ Approx. Date: \_\_\_\_\_  
Result: \_\_\_\_\_

Has your child had an MRI of the head? Yes \_\_\_ No \_\_\_ Approx. Date: \_\_\_\_\_  
Result: \_\_\_\_\_

The above information is accurate to the best of my knowledge.

\_\_\_\_\_  
Patient/Guardian Signature Date

I have reviewed the above information with the patient.

\_\_\_\_\_  
Physician Signature Date





**Medical Information Release Form**  
**(HIPAA Release Form)**

Patient Name: \_\_\_\_\_ Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_

Representative Name: \_\_\_\_\_ Relationship: \_\_\_\_\_

Yes\_\_\_ No\_\_\_ I hereby authorize the Shea Ear Clinic to communicate my child’s medical information including the diagnosis, records; examination rendered and billing information. This information may be released to the following individuals:

1. Name \_\_\_\_\_ Phone # \_\_\_\_\_  
Relationship \_\_\_\_\_ Alternate # \_\_\_\_\_

2. Name \_\_\_\_\_ Phone # \_\_\_\_\_  
Relationship \_\_\_\_\_ Alternate # \_\_\_\_\_

**Messages**

Yes\_\_\_ No\_\_\_ I give permission to leave messages on my answering machine/voice mail (Test Results or Appointment information). Phone # \_\_\_\_\_  
Alternate # \_\_\_\_\_

Yes\_\_\_ No\_\_\_ I give permission to communicate with me via texting and email (Test Results or Appointment information). Cell # \_\_\_\_\_  
Email \_\_\_\_\_

Yes\_\_\_ No\_\_\_ I give permission to call my place of employment. Phone # \_\_\_\_\_

Yes\_\_\_ No\_\_\_ I give permission to leave messages on my voice mail at work (Test Results or Appointment information). Phone # \_\_\_\_\_

Yes\_\_\_ No\_\_\_ I give permission to release information to my child’s employer or my child’s school regarding absences. Employer \_\_\_\_\_  
School \_\_\_\_\_

**Rights of Patient**

I understand that I have the right to revoke this authorization at any time and that I have the right to inspect or copy the protected health information to be disclosed as described in this document by sending written notification to the Privacy Officer or Administrator . I understand that revocation is not effective in cases where the information has already been disclosed but will be effective going forward. I understand that the information used or disclosed as a result of this authorization may be subject to re-disclosure by the recipient and may no longer be protected by federal or state law. I understand I have the right to refuse to sign this authorization and that my child’s treatment will not be conditional on signing. The Release of Information will remain in effect until terminated by me in writing.

Signature of patient or representative \_\_\_\_\_ Date \_\_\_\_\_

Signature of Shea Clinic representative \_\_\_\_\_ Date \_\_\_\_\_



# SHEA EAR CLINIC

EAR, NOSE AND THROAT

6133 POPLAR PIKE  
MEMPHIS, TN 38119  
PHONE: (901) 761-9720 / FAX: (901) 680-1992

## AUTHORIZATION TO RELEASE MEDICAL INFORMATION

I AUTHORIZE THE RELEASE OF ALL OF MY CHILD'S MEDICAL RECORDS INCLUDING ANY APPLICABLE BILLING RECORDS:

**FROM:**

\_\_\_\_\_  
Name of Doctor or Hospital

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City/State/Zip

**TO BE FORWARDED TO:**

\_\_\_\_\_  
Name of Doctor

Shea Ear Clinic  
6133 Poplar Pike  
Memphis, TN 38119

\_\_\_\_\_  
Patient's Name (Please Print)

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City/State/Zip

\_\_\_\_\_  
Date of Birth

\_\_\_\_\_  
Date of Last Office Visit

\_\_\_\_\_  
Signature of Patient (Parent or Guardian)

\_\_\_\_\_  
Date Signed

\_\_\_\_\_  
Signature of Witness

\_\_\_\_\_  
Date Signed